IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: BUCKWALTER et al.

Application No.: 10/697,541

Filing Date: 10/30/2003

For: METHOD AND APPARATUS FOR

MONITORING AND EVALUATING LIMIT ORDER TRADING

Confirmation No. 7586

Group Art Unit: 3692

Examiner: Shahid R. Merchant

AMENDMENT and RESPONSE to October 9, 2007 Non-Final Office Action

Docket No.: G08.047/U

PTO Customer Number 28062

Buckley, Maschoff & Talwalkar LLC

50 Locust Avenue

New Canaan, CT 06840

Mail Stop Amendment (Via EFS) Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Non-Final Office Action mailed October 9, 2007, please amend the above-identified application as follows.

Amendments to the claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 11 of this paper.